DRAFT

COMMISSION POLICY	
Category: Strategic Directive	Title: Compliance and Enforcement
	Policy Number: SD-?
Date of Adoption:	Resolution No.
Revision:	Resolution No.

The CPUC will monitor regulated entities' compliance with applicable law -- including CPUC rules, regulations, and orders – as a primary means to assure that consumers, utility employees, contractors, and other workers, as well as the general public benefit from reliable and affordable services provided in a safe and efficient manner. The Commission's-CPUC's enforcement activities are an important method of assuring compliance.

The Commission CPUC will:

- 1. Enforce applicable laws, rules and regulations in a fair and timely manner.
- 2. Promote compliance, deter violations of laws and regulations, and discourage any act that could jeopardize public health and safety.
- 3. Promote personal and organizational accountability within public utilities, regulated entities, and within the CPUC.
- 4. Coordinate with and utilize the expertise and resources of federal, other state, <u>tribal</u> and local governmental entities.
- Continually improve CPUC oversight efforts through regular periodic reviews of compliance and enforcement efforts, and review of the safety performance of regulated entities, including effective <u>utility staff</u> education and training <u>of their staff</u>.

Metrics: TBD

Monitoring Method: Executive Director Report

Frequency: **Annual** SD Direct Links: